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Bib Data Sheet

CONFIRMATION NO. 4046

SERIAL NUMBER 09/840,583	FILING DATE 04/23/2001 RULE	CLASS 705	GROUP ART UNIT 2165	ATTORNEY DOCKET NO. 3001 P 015
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APPLICANTS

Kenneth Kiron, New York, NY;
 Kevin S. Bander, Chicago, IL;

** CONTINUING DATA *****

THIS APPLICATION IS A CON OF 09/579,801 05/26/2000
 WHICH IS A CON OF 09/140,868 08/27/1998 PAT 6,088,685
 WHICH IS A CON OF 08/542,431 10/12/1995 PAT 5,806,048

OK
 fm 21

** FOREIGN APPLICATIONS ***** *None* *fm 21*IF REQUIRED, FOREIGN FILING LICENSE GRANTED.. SMALL ENTITY **
 ** 05/17/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY NY	SHEETS DRAWING 3	TOTAL CLAIMS 61	INDEPENDENT CLAIMS 6
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after allowance				
Verified and Acknowledged	<i>Examiner's Signature</i>	Initials			

ADDRESS

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TITLE

Open end mutual fund securitization process

FILING FEE RECEIVED 844	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit